Compliance Reporting Policy

<table>
<thead>
<tr>
<th>POLICY CONTENTS</th>
<th>Responsible University Office: Compliance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Scope</td>
<td>Policy Contact: Compliance Officer</td>
</tr>
<tr>
<td>Policy Statement</td>
<td><a href="mailto:drewnielsen@unomaha.edu">drewnielsen@unomaha.edu</a></td>
</tr>
<tr>
<td>Reason for Policy</td>
<td></td>
</tr>
<tr>
<td>Procedures</td>
<td></td>
</tr>
<tr>
<td>ADDITIONAL DETAILS</td>
<td></td>
</tr>
<tr>
<td>Related Information</td>
<td></td>
</tr>
</tbody>
</table>

Scope

This policy applies to all University faculty, administrators, staff, and students.

Policy Statement

The University of Nebraska at Omaha strives to operate in an ethical, honest, and lawful manner and expects its faculty, administrators, staff, and students to conduct their activities in accordance with University policies and applicable law. The University strongly encourages all faculty, administrators, staff, and students to report suspected or actual wrongful conduct though channels that the University establishes for such reporting.

Reason for Policy

To encourage members of the University of Nebraska at Omaha community to report compliance and ethics concerns or to seek guidance on compliance and ethics concerns.
Procedures

COMMUNICATION CHANNELS:
Employees and students who have compliance-related questions or concerns are encouraged to share them as soon possible so an appropriate action can be taken. Multiple communication channels are available for employees and students to ask questions and report concerns. While employees and students are encouraged to obtain guidance or share concerns with their supervisor or faculty advisor first, they may use any of the following communication channels:

- Discuss the issue with supervisory personnel or a faculty advisor (students);
- Contact the department that has subject matter expertise in the area of concern;
- Contact the Compliance Office at 402-554-3715 or unocompliance@unomaha.edu;
- Contact the UNO Office of Internal Audit at 402-554-2322;
- Contact the UNO Ombudspersons’ Office at 402-554-4857;
- Contact the Nebraska Ombudsman’s Office at 1-800-742-7690;
- Contact the Nebraska Auditor of Public Accounts at 1-800-842-8238.

CONFIDENTIALITY/GOOD FAITH REPORTS:
UNO shall attempt to maintain the confidentiality of an individual who reports concerns or misconduct upon request. However, confidentiality cannot be guaranteed, and disclosure of the individual's identity may be necessary in order to fully investigate the concern.

No University faculty member, administrator, staff member or student may interfere with the good faith reporting of suspected or actual wrongful conduct; no individual who makes such a good faith report shall be subject to retaliation, including harassment or any adverse employment, academic or educational consequence, as a result of making a report. Individuals shall not make false allegations. The University will take whatever action is necessary and appropriate to address a violation of this policy.

Related Information
UNO Policy Library
UNO Code of Professional Ethics
UNO Student Code of Conduct
University of Nebraska Board of Regents Policies
University of Nebraska Board of Regents Bylaws